Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

See Overview description in Indicator 1.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8C: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

C. Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
2009	100%

Actual Target Data for FFY 2009:

82%	
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Method used to collect data and the procedures used to collect these data:

Included in the calculation for 8C were all files reviewed during the on-site monitoring of three EIPs during May and June of 2009. The files reviewed included those in which a transition conference should have occurred for children exiting Part C who were potentially eligible for Part B. See Indicator 9 for description of how monitoring sites were selected.

The reviewers looked for documentation (a copy of a completed Arizona Transition Planning Form, Part II) that a transition conference occurred, between the child's age of 2 years and 6 months and 2 years and 9 months, for children who were potentially eligible for Part B.

AZ Definition of Potentially Eligible under IDEA, Part B:

After considering Part B's eligibility criteria in conjunction with the State's narrow eligibility criteria, the State has defined a potentially eligible child under IDEA, Part B to mean a child who is eligible for AzEIP and who has an IFSP when the child is two years of age or older.

Children Exiting Part C who Received Timely Transition Planning (Transition Conference):

A. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred.	23
B. Number of children exiting Part C who were potentially eligible for Part B.	28
Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday (Transition Conference) (Percent = [(b) divided by (a)] times 100).	

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred in FFY 2009:

Three EIPs were monitored for this Indicator. One was at 100 percent (9/9 files compliant), the second one was at 78 percent (7/9 files compliant) and the last one was at 70 percent (7/10 files compliant).

The State experienced slippage from 100 percent in FFY 2008 to 82 percent in FFY 2009. Through files reviews, and interview with supervisors and their service coordinators, the State was able to account for the untimely transition conferences:

- 2 of the 23 files reviewed were not timely due to family circumstances. However, the calculation includes the two files in both the numerator and the denominator.
- 1 of the 3 EIPs monitored ensured a timely transition conference for all children reviewed.
- 2 of the 3 EIPs monitored did not ensure all children and their families reviewed had timely transition conferences.
- 5 of the 28 files were not timely due to system reasons. Specifically, the service coordinators did not ensure that the transition conferences were scheduled and held between the time the child was 2 years and 6 months and 2 years and 9 months.
- Subsequently, the service coordinators did schedule and facilitate transition conferences for the five children and families, although late.
- In most situations, the service coordinators attempted to schedule the transition conference within the required timelines. However, if the school district did not respond or responded that they could not participate in the conference between the child's age of 2 years and 6 months and 2 years and 9 months, the service coordinators scheduled the transition conference after the child was 2 years and 9 months to accommodate the districts. The service coordinators did not understand that they were required to hold the transition conference during the required timeframe even if the district representative could not attend.
- Two findings of noncompliance were made during FFY 2009; action taken to ensure full correction, and correction of these findings will be reported on in the FFY 2010 APR.

Improvement Activities	Timelines	Status
AzEIP and ADE instituted an Alert system to allow local Part C and Part B representatives to notify their State contacts of compliance issues, which were not able to be resolved at the local level.	2007; Ongoing Alerts	The Alert system has provided a systematic method for DES/AzEIP and the ADE 619 Coordinator to provide TA to their programs that have been unable or unwilling to resolve issues (e.g., notification of potentially eligible children, scheduling of transition conference to occur between 2.6 - 2.9 years) with their local partners. This Alert system is maintained through a data sharing agreement with AzEIP and ADE that enables both the Part C staff and the 619 Coordinator to track and document Alerts in a shared tracking log.
Revise, if needed, the Transition IGA to align with Frequently Asked Questions document issued by OSEP in December 2009.	July 2010	The Transition IGA was revised to align with the Frequently Asked Questions document issued by OSEP in December 2009. Revisions were submitted with the State's Application. AzEIP Transition Policies and Procedures were approved and implemented in September 2010.
Continue annual cross-training on the Transition IGA in collaboration with ADE.	November 2006 and annually through 2010	AzEIP TAMS and the ADE 619 Coordinator focused both individual and cross-training efforts in regions that requested the training, were having trouble resolving issues locally and/or were identified through monitoring data or Alerts as needing focused technical assistance. Cross training occurred in the Navajo Nation and the border communities of Southern Arizona. AzEIP Continuous Quality Improvement Coordinator and the ADE 619 Coordinator presented on the Transition IGA during the ADE Director's Institute. The ICC and the Arizona Special Education Advisory Panel (SEAP) developed a workgroup made up of representatives from both Councils along with Raising Special Kids (RSK), Arizona's Parent Training and Information Center, and ADE Parent Information Network Specialists (PINS) to: 1) coordinate presentations and

APR Template - Part C (4)

		written materials across the agencies; 2) develop information for parents on transition; and 3) coordinate and collaborate across agencies in training staff, providers, and families. ADE PINS and RSK provided information to Service Coordinators and LEAs regarding the training opportunities for families throughout AZ to ensure the information was widely dispersed to families. The ICC/SEAP workgroup finalized the "In By 3: What's Next for Me" parent handbook, which is posted on ADE's website.
Incorporated herein are the improvement activities from: (i) Indicator 1 regarding expansion of the team-based model and functional, participation-based practices; (ii) Indicator 1 regarding recruitment and retention; (iii) Indicator 2 regarding the AzEIP Standards of Practice; (iv) Indicator 9 regarding revising and implementing General Supervision policies, procedures, tools and forms, root cause analysis, and enforcement and sanctions, and; (v) Indicator 14 regarding data management, editing and validation, and analysis.	July 2010	See Improvement Activities across other Indicators.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010:

APR Template - Part C (4)

Improvement Activities	Timelines	Status
Continue annual cross-training on the Transition IGA in collaboration with ADE.	Revise: November 2006 and annually through 2010 To: November 2010 and annually through 2012 Justification: Align with extension of	CSPD Coordinator, TAMS
	SPP	
Incorporated herein are the improvement activities from: (i) Indicator 1 regarding Targeted technical assistance; (ii) Indicator 2 regarding the AzEIP Standards of Practice; (iii) Indicator 9 regarding revising and implementing General Supervision policies, procedures, tools and forms, root cause analysis, and enforcement and sanctions, and; (iv) Indicator 14 regarding data management, editing and validation, and analysis.	Revise: July 2010 To: June 2012 Justification: Align with extension of SPP	DES/AzEIP staff, TAMS and the ADE 619 Coordinator